



Louisiana Public Service Commission

Located at 602 North Fifth Street; Baton Rouge, LA 70802

Mailing Address PO Box 91154; Baton Rouge, LA 70821

Transportation Division: (888) 342-5717

APPLICATION FOR STOCK TRANSFER OR CHANGE IN OWNERSHIP GREATER THAN 50% FOR WASTE CERTIFICATES OR PERMITS

Waste Carriers shall seek Commission approval prior to a stock transfer or change in the ownership of the carrier greater than 50% pursuant to Section II of General Order dated October 08, 2019. No motor carrier shall have a change in stock or ownership without prior approval from the Commission and only after a written application, is made, filed and approved.

APPLICATIONS - PROCESS

Applications for a stock transfer or change in the ownership of the carrier greater than 50% must be filed in the Commission's office, Galvez Building, 602 N. 5th St., Baton Rouge, Louisiana 70802. (Mailing address is P.O. Box 91154, Baton Rouge, Louisiana 70821-9154.)

The original notarized application must be submitted in **TRIPLICATE** (original and 2 copies) and be accompanied by the following: (**NOTE**: Any application that does not provide the minimum requirements as listed below will be **REJECTED**.)

- Application Filing Fee in the amount **\$200.00** NON-REFUNDABLE
- A copy of the Secretary of State Certificate and Articles of Incorporation or Formation from the State of origin or existence.
- A copy of the Louisiana Secretary of State's Certificate of Good Standing.
- The company's operating structure, names of regulatory contacts, bookkeepers, CPA, dispatchers, or other employees anticipated to be involved with the transportation and disposal of waste.
- Financial income statements and balance sheets for the company for the last two complete years. All financials should be marked as **CONFIDENTIAL**.
- A complete list of company's equipment.
- An Acor Certificate from an insurance company (or agent) stating the required insurance coverage as currently exist.
- A complete copy of the company's safety manual either by printed hard copy or USB Flash Drive ONLY.
- Copies of permits required by any and all other state and federal agencies for the transportation and disposal of waste or a detailed list of those required permits in which the applicant has applied for, including a detailed compliance history under any jurisdiction the Applicant is currently subject to for each regulatory agency's jurisdiction.
- Copies of the last four quarters of the company's Inspection & Supervision Fee Reports and proof of payments as required by General Order November 22, 2011.
- Copies of the company's waste manifest for the 6 months prior to filing this application for the proposed transfer of the certificate or permit. If this application is being filed under good faith after the transfer, waste manifest for the 6 months prior to actual transfer will be required.
- Purchase Agreement or Stock Transfer documents marked as **CONFIDENTIAL** and submitted under seal.

****The original notarized application and accompanied documents must be submitted in TRIPLICATE****