

Louisiana Public Service Commission



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EVE KAHAO GONZALEZ
Executive Secretary

BRANDON M. FREY
Executive Counsel

November 22, 2016

JOHNNY E. SNELLGROVE, JR
Deputy Undersecretary

VIA E-MAIL:

Re: RFP 16-22 Docket No. R-34246 LPSC, ex parte, In Re: Rulemaking to consider the appropriateness and potential scope of the Louisiana Public Service Commission's review and pre-approval of utility contracts regarding the construction and/or acquisition of significant generation and transmission related assets.

To all LPSC qualified counsel:

Enclosed please find a Request for Proposals ("RFP") for **outside regulatory counsel** issued by the Louisiana Public Service Commission for the above referenced subject. If your firm is interested in submitting a proposal, please review the RFP carefully to determine whether your firm meets the specific requirements to qualify for this representation.

All Proposals must include a showing that your firm possesses the requisite qualifications and experience to represent the Commission in this matter. Also, please note that all Proposals, including the **original, plus (5) five copies and an electronic copy** must be received on or before **December 12, 2016**. Selection of a consultant is anticipated to take place at the Commission's **December 21, 2016 Business and Executive Session**.

Should you have any questions, please do not hesitate to contact me.

Sincerely,

A handwritten signature in blue ink, appearing to read "Brandon M. Frey".

Brandon M. Frey
Executive Counsel

BF/khr

November 22, 2016

**RFP 16-22
LOUISIANA PUBLIC SERVICE COMMISSION
REQUEST FOR PROPOSAL (“RFP”)**

DOCKET NO. R-34246 LPSC, ex parte, In Re: Rulemaking to consider the appropriateness and potential scope of the Louisiana Public Service Commission’s review and pre-approval of utility contracts regarding the construction and/or acquisition of significant generation and transmission related assets.

The Louisiana Public Service Commission (“Commission” or “LPSC”), in accordance with the requirements of the LPSC’s General Order dated November 10, 2014 regarding the selection of contract employees to represent the Commission, (“Contract Order”) issues this Request for Proposals (“RFP”) to **outside regulatory counsel** who will assist the Commission in reviewing the issues related to the Commission’s above-captioned rulemaking docket. The Commission reserves the right to reject any proposal or decline to undertake this review at this time.

I. Overview

Staff, pursuant to Commission directive issued at the August 31, 2016 Business and Executive Session, issued a notice of rulemaking, creating the above-captioned docket on September 22, 2016. Notice was subsequently published in the Commission’s Official Bulletin dated September 23, 2016. Specifically, Staff was directed to open a docket to accomplish the following:

I direct Staff to open a rulemaking docket to consider the appropriateness and potential scope of the Commission’s review and pre-approval of utility contracts regarding the construction and/or acquisition of significant generation and transmission related assets. In this rulemaking, Staff will review current procedures, determine which contracts should be reviewed, and consider adopting new procedures that would allow the Commission to hire outside consultants and counsel to review defined contracts prior to execution. I also direct Staff to have a technical conference(s) associated with this rulemaking to allow for utility and intervenor participation in the process.

II. Scope of Representation

Applicants will be assisting in-house Staff counsel and any consultant, if retained, in formulating issues list(s), drafting discovery requests to intervenors and reviewing responses to such requests, reviewing comments filed by intervening and interested parties, participating in any technical conferences scheduled, drafting and reviewing reports and recommendations and participating in open sessions wherein the matter is discussed.

All proposals shall include an outline of a plan of action for conducting the rulemaking, technical conferences, if any, together with estimated timelines and separate fees and costs associated with the representation. However, in the event an applicant is chosen, the Commission and its in house Staff shall have the right to determine how the tasks will be carried out. In addition, all proposals shall be accompanied by a professional firm résumé indicating the qualifications and experience necessary to meet the requirements of this RFP.

III. Period of Representation

The time period (“Period of Representation”) estimated to complete the Scope of representation is approximately 6-12 months. This is merely an estimate and the Commission makes no representations as to the accuracy of the Period of Representation.

IV. Minimum Requirements

To be considered, all applicants must meet the following minimum requirements in addition to those provided in this Commission’s General Order dated November 10, 2014.

Consideration will be given to factors such as educational background and achievement, practical experience in the field of public utility regulation, and similar practice before other regulatory agencies or public utility commissions.

Applicants shall also, at a minimum, be experienced in the presentation of recommendations involving public utility regulation, or other regulatory issues on administrative and judicial levels. Consideration given to indicia of competence such as previous experience and success in regulatory rulemaking processes, success on appeals, publications of a regulatory nature, educational achievement and other previous employment suggesting competence in the matters involving the above areas; significant personal experience before regulatory agencies such as the SEC, FERC, FCC, or other similar entities may be a favorable factor. Firm size may be considered in matters likely to be prolonged, complex, or involving multiple forums.

Consultants shall have a working knowledge of Louisiana Public Service Commission rulemakings and jurisdictional issues; applicable Commission and federal regulations; and applicant shall, at a minimum, have knowledge of:

- (1) Public utility requests for proposals and contracts;
- (2) applicable Commission regulations regarding utility contracts, including the Commission’s 1983 General Order and Market Based Mechanisms (MBM) Order; and

- (3) The process for acquiring transmission and generation related assets, and contacts involving such assets.

V. Estimate of Costs

All proposals shall include fees and costs associated with consulting in the docket. All applicants responding to this RFP shall quote their rates on an hourly basis and submit a total budget, and shall separate professional fees from costs. However, applicants shall only be allowed to charge for actual hours of work performed and costs incurred. Once selected, an applicant's rates and budget shall not be altered except by formal approval of the Commission.

VI. Conflict of Interest

Applicants shall prominently disclose current, as well as past employment, which could possibly result in a conflict of interest. In addition, applicants shall disclose matters in which they currently represent clients before the Commission.

VII. Billing Guidelines

As required by the November 10, 2014 General Order, all bills will be rendered monthly and shall specifically identify and describe all work performed, the person performing such work, the time and charge for such work, and shall additionally show the total amount billed to date and the authorized original estimate. Bills will be rendered in strict accordance with the Commission's guidelines. Expenses shall also be separately disclosed with proof of such expenses attached.

Expenses, where applicable, shall be reimbursed at state authorized rates as specified in the Travel Policies and Procedures Memorandum issued by the State of Louisiana, Division of Administration in effect at the time such expenses are incurred ("Allowable Expenses"). Any expenses that exceed the Allowable Expenses shall be borne by the contract employee and shall not be reimbursed by either the Commission, or the correspondent utilities, unless otherwise specified by the Commission.

VIII. Reservation of Rights

The LPSC reserves the right to reject any and all proposals, to amend and/or to supplement this RFP at any time, and to consider proposals or modifications thereof received at any time prior to LPSC vote at Open Session, if such action is found by the LPSC to be in the public interest. Pursuant to the General Order regarding the selection of contract employees, the LPSC has the right to accept other than the lowest offer with regard to price. In addition, there is also no assurance, express or implied, that an award will necessarily be made pursuant to this RFP.

IX. Submission of Proposals

Interested parties should submit the **original (hard copy), 5 copies, and e-mail an electronic copy** of the proposal to:

Kathleen Richardson
Louisiana Public Service Commission
Office of the General Counsel
602 North Fifth Street (Galvez Building) (70802)
P.O. Box 91154
Baton Rouge, Louisiana 70821-9154
Phone: (225) 342-9888
Fax: (225) 342-5610
Email: Kathleen.richardson@la.gov
Brandon.frey@la.gov

To be considered, an original, five copies, and the electronic copy of the proposal must be received on or before **December 12, 2016**. Information concerning billing procedures and the Commission's RFP policy may be obtained from the address listed above, or by calling (225) 342-9888.