



RESPONSE TO LOUISIANA PUBLIC SERVICE COMMISSION

REQUEST FOR PROPOSALS 18-07

FOR

INDEPENDENT TECHNICAL CONSULTANT

IN

DOCKET No. TBD IN RE: RULES APPLICABLE TO ELECTRIC
SERVICE PROVIDERS' PROVISION OF SERVICE TO LOAD OUTSIDE ITS
HISTORICAL FOOTPRINT AND RATES THAT MAY BE OFFERED FOR
INDUSTRIAL LOAD.

SUBMITTAL DATE:

JUNE 14, 2018

PREPARED AND SUBMITTED BY:

THE SISUNG GROUP
FOR
UNITED PROFESSIONALS COMPANY
201 ST. CHARLES, AVE., STE. 4240
NEW ORLEANS, LOUISIANA 70170-1048

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A. EXECUTIVE SUMMARY

United Professionals Company (“UPC”), a member of the Sisung Group, is pleased to submit its response to the Louisiana Public Service Commission’s (“LPSC” or “Commission”) request for Proposals for Outside Independent Technical Consultants 18-07 (“RFP”). We hope to have the opportunity to serve the Commission as an Outside Consultant in *Docket No. TBD In re: Rules applicable to electric service providers' provision of service to load outside its historical footprint and rates that may be offered for industrial load* (hereinafter, "this Docket").

UPC is a New Orleans, Louisiana-based financial advisory and consulting company. As a Louisiana-based firm, with the majority of our staff and clients in Louisiana, our awareness of the importance of the issues present in this rulemaking cannot be overstated. For this reason, UPC has built a team of accounting, regulatory, utility finance, and economics professionals, the members of which were specifically selected for their ability to serve the needs of the LPSC Staff on this Docket. The UPC consultants who would serve the Commission and Commission Staff in this engagement have a vast amount of experience with rule-making dockets. We are fully prepared to assist Commission Staff counsel and outside counsel in formulating issues list(s), drafting requests for comments and reviewing responses to requests for comments filed by intervening and interested parties, participating in any technical conferences scheduled, drafting and reviewing rules, reports and recommendations, and participating in open sessions wherein the matter is discussed.

UPC appreciates this opportunity to respond to the Commission's RFP. Our professionals are excited about the opportunity to serve the Commission as an Outside Consultant in this Docket. UPC’s local presence offers the LPSC the benefits of geographic proximity and a wealth of institutional knowledge. Indeed, our robust understanding of Louisiana’s laws, regulations, procedures, organization, political environment and financial position would allow us to be immediately effective in responding to the needs of the Commission and Staff in this Docket by virtue of not having to overcome the learning curve that an out-of-state firm might encounter. For more information on our knowledge and experience, please contact the client references that we have provided.

Very truly yours,

R. Lane Sisung
Managing Director





B. QUALIFICATIONS AND EXPERIENCE

1. The Sisung Group

UPC is a member of the New Orleans, Louisiana-based Sisung Group, which is comprised of related Company that provide investment banking, asset management, venture capital and business consulting and development services to corporations, individuals, institutions and governmental agencies.

- **United Professionals Company (“UPC”)** offers financial consulting, transaction structuring, business development, real estate development, and revenue and cash flow development services to clients operating in the public, private and non-profit sectors. UPC specializes in the areas of public utility financial and accounting analysis, mergers and acquisitions consulting, healthcare revenue maximization, public infrastructure and facilities development, energy efficiency and project management services.
- **Sisung Securities Corporation (“SSC”)** is a full-service investment banking firm that offers a range of services, including equity and fixed income underwritings, financial advisory services and securities brokerage services in addition to other related financial services. Clients served include public, corporate, retail and institutional entities. SSC is registered with the Securities and Exchange Commission (SEC) and is a member of the Financial Industry Regulatory Authority (FINRA), the Municipal Securities Rulemaking Board (MSRB) and the Securities Investor Protection Corporation (SIPC).
- **Sisung Investment Management Services (“SIMS”)** provides investment advisory services to corporate and public institutions, as well as to high net worth individuals. SIMS is an investment advisor registered with the Securities and Exchange Commission (SEC).
- **Sisung Capital** provides alternative financing products to small and medium-sized businesses, thus positively impacting economic development in the communities in which it invests. Sisung Capital invests capital and resources in Company in need of funding for growth and profitability. As a licensed Louisiana Capital Company (“CAPCO”) and Business and Industrial Development Corporation (“BIDCO”), Sisung Capital has primarily focused its investments in Louisiana. By leveraging State tax credit incentive programs, Sisung Capital has assisted start-up and expanding Louisiana businesses in raising and investing over \$25 million dollars in communities throughout Louisiana. Sisung Capital has worked with Company operating in a wide variety of industries including: telecommunications, print manufacturing, construction, weatherization services, oil & gas, healthcare, media and government services sectors.





*a full service broker-dealer
regulated by FINRA*



*a venture capital company licensed as
a Louisiana CAPCO*



SISUNG



*a full service investment advisory firm
registered with the SEC*



*a project management and financial
advisory and consulting company*

Unlike most financial firms which focus solely on financial matters, UPC and its affiliated Sisung Group companies have extensive experience in a broad range of financially related areas involving state and local governments. Some of the Sisung Group's unique accomplishments include:

- Serving as an Outside Technical Consultant in *Docket No. R-26968-LPSC, ex parte, In re: Review of the General Order Dated March 12, 1999* by assisting the Commission in the establishment of a clear definition of “pole attachment,” and therein clarifying the pedestal issue, clearly defining spacing/measurements of attachments on poles, and evaluating whether the existing pole attachment rental rate formula and rate freeze imposed by the General Order dated March 12, 1999 should continue to remain in effect, and, if not, developing an alternate methodology and verifying a new formula for pole attachment rental rates.
- Serving the Commission on *Docket No. R-32975-LPSC, ex parte, In re: Examination of long-term natural gas hedging proposals*, by assisting in: determining whether it is in the public interest for LPSC-jurisdictional investor owned utilities to accept long-term (five, seven, ten and fifteen year), fixed-price hedging proposals for natural gas supply contracts, and if so, determining and/or developing an appropriate methodology for expedited, streamlined Commission approval of such contracts.
- Serving as an independent technical consultant in *Docket U-33244 Entergy Louisiana, LLC (“ELL”) and Entergy Gulf States Louisiana, LLC (“EGSL”), ex parte, In re: Submission of Business Combination Study regarding potential business combination (“Entergy Business Combination Docket”)*.
- Serving the Commission on *Docket No. U-33983 In Re: Docket No. U-33983 Review of Entergy Louisiana, LLC’s Motion for Extension of gas Rate Stabilization Plan* by assisting the Commission’s Legal Staff (“Staff”) by conducting a review of Entergy Louisiana, LLC’s





Motion for Extension of Gas Rate Stabilization Plan, and assisting with the review of Annual Evaluation Reports for each of the three proposed test years.

- Acting as outside consultant to the Commission in reviewing issues related to the evaluation of the proposed transfer of transmission assets in *DOCKET NO. U-32538- Entergy Louisiana, LLC, Entergy Gulf States Louisiana, LLC, Mid South TransCo LLC, Transmission Company Louisiana I, LLC, Transmission Company Louisiana II, LLC, ITC Holdings Corp. and ITC Midsouth LLC, ex parte. In re: Joint Application for Approval of Change of Ownership of Electric Transmission Business, for Certain Cost-Recovery Adjustments, and for Related Relief* and the related dockets before the Federal Energy Regulatory Commission (“ITC Merger Docket”).
- Acting as outside consultants to the Commission in reviewing issues related to the evaluation of *DOCKET NO. 32707- Entergy Gulf States, LLC, In re: Application for Authority to Change Rates, Approval of Formula Rate Plan and for Related Relief* and *DOCKET NO. 32708- Entergy Louisiana, LLC, In re: Application for Authority to Change Rates, Approval of Formula Rate Plan and for Related Relief* (“Entergy Rate Case Dockets”).
- Serving as an independent outside consultant to the Commission in *Docket No. U-33848, Cleco Power LLC, ex parte. In re: Formula Rate Plan-June 2015 Monitoring Report.*
- Serving as an independent outside consultant to the Commission in *Docket No. U-33434, Joint Application of Cleco Power, LLC and Cleco Partners, L.P. for: (I) Authorization for the Change of Ownership and Control of Cleco Power, LLC and (II) Expedited Treatment.*
- Serving the LPSC on Commission on *Docket No. U-34434, Atmos Energy Corporation, ex parte. In re: 2016 Rate Stabilization Clause filing for Louisiana Gas Rate Division, by assisting Staff in reviewing Atmos’s Gas Rate Stabilization Clause Filing for the Trans Louisiana Rate Division.*
- Serving the LPSC on Commission on *Docket No. U-34343, Atmos Energy Corporation, ex parte. In re: 2016 Rate Stabilization Clause filing for Trans Louisiana Gas Rate Division, by assisting Staff in reviewing Atmos’s Gas Rate Stabilization Clause Filing for the Trans Louisiana Rate Division.*
- Serving the Commission on *Docket No. U-33925, Atmos Energy Corporation, ex parte. In re: 2015 Rate Stabilization Clause filing for Trans Louisiana Gas Rate Division, by assisting Staff in reviewing Atmos’s Gas Rate Stabilization Clause Filing for the Trans Louisiana Rate Division.*
- Serving as an independent technical consultant in *Docket No. I-33013, Southwestern Electric Power Company, ex parte. In re: 2013 Integrated Resource Planning process for SWEPCO pursuant to General Order dated April 20, 2012, by assisting the LPSC in reviewing and*



evaluating the Company’s integrated resource plan filings, including comprehensive sets of potential resource options, supply-side, demand-side and economic transmission resource options, to determine a base or “reference resource” plan that offers the most economic and reliable combination of resources satisfying the forecasted load requirements.

- Serving the Commission as an independent outside consultant on *Docket No. U-33605 Joint Application of Entergy Gulf States Louisiana, L.L.C. and Entergy Louisiana, LLC. for Certification of the Louisiana Economic Transmission Project in Accordance with Louisiana Public Service Commission General Order dated October 10, 2013 in Docket No. R-26018.*
- Serving the Commission as an independent outside consultant on *Docket No. U-33645 Application of Entergy Gulf States Louisiana, L.L.C. for Certification of the Lake Charles Transmission Project in Accordance with Louisiana Public Service Commission General Order dated October 10, 2013 in Docket No. R-26018.*
- Providing written and live testimony before the Commission to address and advise the Commission regarding the securitization bonds issued by Entergy Louisiana Investment Recovery Funding I, L.L.C., the designated special purpose entity created by ELL for recovery of the costs related to the Little Gypsy 3 repowering project, and the Commission's issuance of a financing order issued under Louisiana's 2010 enabling legislation, as codified in LA. R.S. 45:1251-1216 (“Entergy Little Gypsy Securitization Docket”).
- Performing financial analysis and rendering testimony on the proposed issuance and securitization of storm recovery bonds, under La. R.S. 45:1331-1328, for permanent rate-financed recovery of system restoration costs related to Hurricanes Isaac and the replenishment of storm reserve escrow accounts in *DOCKET NO. U-32764 Energy Gulf States Louisiana LLC and Energy Louisiana LLC, ex parte. In re: Joint Application for Recovery in Rates of Costs Related to Hurricane Isaac, Determination of Appropriate Storm Reserve Escrow Amounts and Related Relief* (“Entergy Storm Cost Securitization Docket”).
- Serving as an independent outside consultant to the Commission in *Docket No. U-34289, Cleco Power LLC, ex parte. In re: Formula Rate Plan-June 2016 Monitoring Report.*
- Serving the Commission as an independent outside consultant on *Docket No. U-33510 Entergy Gulf States Louisiana, LLC’s Upcoming Filing Regarding Acquisition of two power blocks located at Union Power Station.*
- Serving the Commission through assisting Commission Staff in participating in and monitoring the Midcontinent Independent System Operator, Inc., Organization of MISO States, and Entergy Regional State Committee related matters.
- Serving as an independent outside consultant to the Commission in *Docket No. U-33848, Cleco Power LLC, ex parte. In re: Formula Rate Plan-June 2015 Monitoring Report.*





- Serving as an independent outside consultant to the Commission in *Docket No. U-33434, Joint Application of Cleco Power, LLC and Cleco Partners, L.P. for: (I) Authorization for the Change of Ownership and Control of Cleco Power, LLC and (II) Expedited Treatment.*
- Serving the Commission on *Docket No. U-33974 Entergy Gulf States Utilities, L.L.C. ex parte. In re: 2015 Rate Stabilization Plan Filing*, by assisting Staff in reviewing EGSL’s Gas Rate Stabilization Plan Filing.
- Serving the LPSC on LPSC, *ex parte, In re: Investigation of the retail load assignment between Entergy Gulf States Louisiana, LLC, Vinton Public Power Authority and San Rayburn Municipal Power Agency and certain interstate contract electric power supply agreement* by assisting Commission Staff in the further investigation of the issues contemplated in the above captioned investigation, as well as a determination as to whether the current (or prior) arrangement(s) have an impact on Louisiana-jurisdictional ratepayers.
- Serving the Commission on *Docket No. R-32138-Wastewater Treatment Systems & Operations, LLC, ex parte. in relation to the request for an increase in water and wastewater rates* by assisting in reviewing, documenting and verifying the upgrades that Wastewater Treatment Systems & Operations, LLC has stated it has made in filings in the above-captioned docket.
- Working with Louisiana’s legislative leadership to design a proposed capital outlay revolving loan program to expand capital outlay capacity by leveraging State funds and engaging local government financial participation in capital outlay funding through low cost loans.
- Customizing a cash flow model for Jefferson Parish which establishes protocols for transfers between checking and operating accounts and maximizes investment revenue for a parish-wide pooled cash fund.
- Developing and implementing innovative strategies to assist healthcare providers, local governments, and the Louisiana Department of Health and Hospitals (“DHH”) to finance services through utilization of unused Medicaid Upper Payment Limit Caps (“UPL’s”), Certified Public Expenditures (“CPE’s”), Intergovernmental Transfers (“IGT’s”) and Public Private Partnerships (“PPP’s”).
- Design and administrative management of a statewide financing and construction program for the Louisiana Community and Technical College System including budgeting, accounting, financial advisory services for bond issues, construction fund investment management, board presentations, and other administrative services.
- Proposing and obtaining passage of legislation to allow political subdivisions to diversify their investments into low risk municipal bonds in order to increase investment earnings, and successful implementation and management of such investment programs for our clients.





- Modeling net state tax-supported debt and providing sensitivity analysis and recommendations to the state of Louisiana, Office of the Governor, Division of Administration (“DOA”) regarding the timing and sizing of state debt issues to fund capital outlay needs.
- Serving the state DOA by providing financial advisory services on an “on-call” basis.
- Assisting the state of Louisiana in securing \$83.5 million to support TOPS scholarships for Louisiana’s young people through acting as financial advisor for the refinancing of the state’s tobacco settlement bonds.

As demonstrated by the above, our professionals are highly experienced in multifaceted areas, including financial analysis, program design, government relations, legislative advocacy, policy initiatives, financing transactions, and legal and regulatory budgeting, planning, and administration.

Provided below are a list of five (5) references who can speak on behalf of the Sisung Group’s abilities, integrity, and professional reputation in acting as financial advisor to a private or governmental entity.

Client	Lead Contact	Telephone
Jefferson Parish	Chris Roberts Parish Councilman	(504) 736-6615
Louisiana Community and Technical College System	Dr. Monty Sullivan President	(225) 922-2800
West Jefferson Medical Center (Jefferson Parish Hospital Service District No. 1)	Nancy Cassagne CEO	(504) 349-1102
Louisiana Local Government Environmental Facilities and Community Development Authority (“LCDA”)	Ty E. Carlos	(225) 942-6150
State of Louisiana, Office of the Governor, Division of Administration	Barbara Goodson Deputy Commissioner of Administration	(225) 342-7000

More information on The Sisung Group is also available at www.sisung.com.





2. United Professionals Company

UPC, which manages the Sisung Group's non-regulated financial advisory and consulting activities, provides clients operating in the public, private and non-profit sectors with a broad range of services from its offices in New Orleans, Covington, and Baton Rouge. These services include, but are not limited to: financial analysis, transaction structuring, business plan development, real estate development, and revenue maximization. UPC's public sector clients include state governments, parish and county governments, cities, towns, state utility regulatory authorities, school districts, hospitals, levee districts, housing authorities, universities, port authorities, law enforcement districts, charter schools and more.

UPC and its affiliated Company in the Sisung Group are multifaceted and have extensive experience in a broad range of financially-related areas involving state and local government throughout Louisiana. UPC's dedicated and accomplished staff includes previously high-ranking government and financial institution officials with extensive management and financial experience, in addition to:

- Investment Bankers
- Securities Traders
- Financial Analysts
- Attorneys
- Certified Public Accountants
- Regulatory Economists
- Published Academics
- Former Public Administrators

Our professionals are highly educated, experienced, and published in multidisciplinary areas including:

- Financial Analysis
- Program Design
- Government Relations
- Legislative Advocacy
- Policy Initiatives
- Financing Transactions
- Legal and Regulatory Budgeting, Planning, and Administration

Successfully utilizing the strengths, talents and expertise of our seasoned professionals, we are able to provide customized, quality service to our clients. Our professionals have represented local, state and federal issuing authorities, cities, parish and county governments, hospital districts, 501(c)(3) corporations, state agencies, state governments and commissions, and corporations.





As a specialty branch of these financial advisory and consulting services, UPC has built a team of regulatory and utility finance and economics professionals, the members of which were specifically selected for their ability to serve the needs of the LPSC. All members of the UPC team have an extensive knowledge of Louisiana Public Service Commission authority pursuant to the Louisiana Constitution and jurisdictional issues, as well as, any applicable Commission and federal regulations. In addition, all of our consultants are knowledgeable about:

- (1) The Commission General Order No. R-28269 known as the "300 Foot Rule."
- (2) The Commission General Order No. 2 dated July 1, 1921 requiring all regulated companies to maintain tariffs on file with the Commission as well as the "filed rate" doctrine.
- (3) Electric utility ratemaking issues including accounting standards and practices, including but not limited to:
 - a. NARUC approved cost-of-service methodologies;
 - b. Cost recovery mechanisms for power supply resources, including rates of return, Formula Rate Plans, and the General Orders relating to purchased power and fuel adjustment clauses (Commission General Orders dated June 27, 1985 and November 6, 1997, Docket No. U-21497);
 - c. The determination and calculation of the appropriate rate base of a utility plant investment dedicated to providing service;
 - d. Traditional cost-of-service and cost allocation methodologies supporting the revenue requirements among classes of customers;
 - e. Cost allocation methodologies for the allocation of investment and expenses between affiliates, including the relationship of the holding company to its subsidiary operating companies, the transfer of investment and costs between operating companies, and the provision of services between affiliates; and
 - f. Commission methodologies for calculating avoided costs pursuant to the Commission's General Order dated April 26, 2007(Docket No. U-22739), recently amended by the General Order dated January 19, 2018 (Docket No. R-34366) and Order No. U-32628-A.
- (4) The Commission General Order dated March 12, 1974 prohibiting "promotional practices" by public utilities; and



(5) Midcontinent Independent System Operator ("MISO") and Southwest Power Pool ("SPP") tariffs, rules and planning processes generally, and locational marginal pricing or ("LMP") specifically.

UPC's team of regulatory and utility finance and economics professionals is well qualified to provide the services that the LPSC has requested in Request for Proposal 18-07. Our organization has an extensive amount of practical experience in the field of public utility practice that includes similar practice before the LPSC and other regulatory agencies, and a vast knowledge of Louisiana ratemaking standards and regulatory law. Our experience serving the LPSC in prior dockets has left our professionals fully prepared to assist in-house Staff counsel as well as outside counsel in:

- Formulating issues list(s),
- Drafting requests for comments and
- Reviewing responses to requests for comments filed by intervening and interested parties,
- Participating in any technical conferences scheduled,
- Drafting and reviewing rules, reports and recommendations
- Participating in open sessions wherein the matter is discussed
- Assisting in the drafting of applicable motions, exceptions, briefing sheets and orders of the Commission.

All members of our team are experienced in participating in cases involving public utility regulation, or other regulatory issues on administrative and judicial levels, including the presentation of direct testimony or report and recommendations, assistance in developing cross examination of adverse witnesses, and the analysis of comments and exceptions to proposed recommendations. Our team of professionals has significant experience in the presentation of recommendations involving public utility regulation and other regulatory issues on administrative and judicial levels. Our professionals have practiced before, and acted as expert witnesses in complex cases before, regulatory agencies such as the LPSC, Securities and Exchange Commission ("SEC"), the Federal Energy Regulatory Commission ("FERC"), and the Financial Industry Regulatory Authority ("FINRA"). The attorneys who work in-house for UPC have been published on topics covering a wide range of law.

UPC has built a team with extensive practical experience in the field of public utility regulation that includes similar practice before the LPSC and other regulatory agencies. Our professionals have significant experience in the presentation of recommendations involving public utility regulation and other regulatory issues on administrative and judicial levels. We are qualified and prepared to review all documentation submitted by any party to the proceeding on any and all issues that are likely to arise in the proceeding.

Collectively, the UPC professionals possess a full understanding and ability to assist Commission Staff in reviewing the issues related to this Docket. The combination of our team members'





educational backgrounds, achievements, specific expertise, and prior experience serving the Commission best positions us to provide the LPSC and Staff with the most extensive and comprehensive consulting services available to it on this Docket.

The resumes of our highly qualified professionals who would be assigned to assist the Commission, as well as the functions that would be performed by each, are detailed in *Appendixes A*. We have included a full resume for each staff member and consultant who will be assigned to work on this Docket, including names, positions, education, and professional experience. UPC reserves the ability to supplement its team, if necessary, and with approval of Commission Staff, in order to provide the Commission with the very best service on this Docket.



C. PLAN OF ACTION

We are fully prepared to assist the Commission's in-house Staff in this Docket. The Commission's RFP makes clear that the time anticipated to complete the Scope of Representation is approximately four (4) months. As a result, our Plans of Action is tailored towards a 4-month maximum Period of Representation.

If selected, our team of professionals would make ourselves available to participate in informal conference calls, meetings and conferences with the Commission and its Staff, and attend any Business and Executive Sessions that the Staff deems necessary through the conclusion of each test year through Commission vote.

1. Methodology

The methodology that UPC proposes to utilize in serving the LPSC in this Docket leverages our team's in-depth understanding of Commission and state of Louisiana law and regulatory precedent, the regulatory process, and our specially-selected consultants' prior experience serving in other rulemaking dockets. The UPC approach uses a collaborative, standardized methodology that ensures efficiency, effectiveness, and proper controls.

2. Approach

If UPC is selected as the Commission's outside consultant, our staff will work closely with Commissioners, Commission Staff, and Outside Counsel in reviewing all relevant aspects of the rulemaking. Detailed below is an outline of UPC's proposed action plan for assisting the Commission in this Docket. UPC fully understands, however, that if chosen, the Commission and its Staff shall determine how the tasks will be carried out.

a. Investigation and Analysis

During our Investigation and Analysis, we would seek to determine whether existing Commission Orders, Rules and Regulations or Policies, or Federal Rules and Regulations, place restrictions on the ability of an electric service provider to serve load outside of the providers historical footprint; 2. whether existing Commission Orders, Rules and Regulations or Policies place restrictions on the rates that may be offered to serve such industrial load; and 3. absent existing Commission rules and regulations regarding the above issues, recommended rules and regulations governing the issue. We estimate that our Investigation and Analysis, would extend over the first one (1) month of the engagement. During this time, we would begin the engagement by reviewing any and all filings. In doing so, we would leverage our team's strong knowledge of Louisiana regulatory, financial, legislative and legal issues. Our preliminary implementation plan phases include:



- Meet and work with Commissioners, Commission Staff, Outside Counsel, and involved parties to establish and distribute a list of the professionals participating in the process and their contact information
- Investigate applicable LPSC, FERC, and MISO regulations
- Formulate issues list(s)
- Reviewing comments filed by intervening and interested parties
- Draft discovery requests to intervenors and to their internal third-party consultants
- Plan, participate in, and moderate technical conferences with Commissioners, Commission Staff, and intervening parties
- Participate in open sessions with Commissioners, Commission Staff, and intervening parties
- Attend Commission Business and Executive Sessions
- Consult with industry-leading regulatory economists
- Participate in status conferences
- Draft recommendations
- Draft reports for filing into the record of proceedings

b. Rules Design and Development

Absent existing Commission rules and regulations regarding the above issues, we would recommend rules and regulations governing the issue during the Rules Design and Development phase. During the Rules Design and Development phase, we would seek to develop rules and regulations governing the issue. Rules Design and Development would likely extend over a two (2) month period of the engagement. We would continue to meet and work with the Commissioners, Commission Staff, and intervening and interested parties throughout the entirety of this process. Our team of professionals would continue to leverage their combined expertise in public utilities law, finance analysis, regulatory economics, as well as our in-depth knowledge of Louisiana public utilities, and the MISO markets, placing it at the disposal of those other parties involved. Our team members would additionally:

- Attend meetings with Commissioners and their Staff
- Participate in technical conferences
- Participate in open sessions
- Develop planning models, protocols, and control-based guidelines
- Compile findings of analysis by drafting reports, rules, and policies to be filed with the Commission
- Draft recommendations
- Prepare briefing materials for Commissioners, Commission Staff, and intervening parties

c. Rules Implementation Processes

Subsequent to the Rules Design and Development Process, our team anticipates that we would then actively participate in the Rules Implementation Processes. We anticipate that the Rules



Implementation Processes would extend over the last month of the engagement. Tasks involved would include:

- Review final rules design and propose control mechanisms to ensure that goals would be advanced by implementation, if applicable
- Review rules documentation, if applicable
- Assist in the strategy for rules approval and implementation, if applicable
- Monitor the structuring and timing of rules proposed implementation, if applicable
- Assist as necessary in rules implementation, if applicable

In performing the above, we would leverage our team's expertise in public utilities law, finance analysis, and regulatory economics, as well as our in-depth knowledge of Louisiana public utilities throughout the entirety of this process, placing it at the disposal of those involved.

3. Workload Management and Distribution

R. Lane Sisung and Paul T. Chastant, III will serve as contacts to LPSC, with Mr. Sisung serving as the primary contact. Mr. Sisung and the team will remain in constant contact with Commissioners, Commission Staff, and intervening parties regarding any and all material matters so as to together provide seamless coverage for the LPSC. Together we will work with Commissioners, Commission Staff and intervening parties to assess needs, determine deliverables and timelines, coordinate our firm's resources, and schedule meetings with the LPSC and other parties to provide analysis and advice.

UPC's staff utilizes a collaborative and fluid team approach. Mr. Sisung will draw upon our individual team members' expertise as needed for understanding and edification of individual issues. We may also add expertise throughout the engagement as needed. By assigning the proper specialist to individual issues as they arise, with Mr. Sisung acting as program administrator, we will be able to effectively meet LPSC's needs. All of this resource coordination will take place seamlessly to the Commission.

4. Action Plan Conclusion

UPC has included this preliminary work plan as reference for discussion with the Commission to demonstrate that we have identified the major tasks needed to successfully complete the work necessary in this Docket. This preliminary work plan will form the basis of the detailed work plan that will be agreed upon with Commission Staff and used to perform this assignment. We believe that our process ensures that the work products and deliverables will exceed the quality standards that the LPSC expects from the independent technical consultant awarded this contract.



D. COST PROPOSAL

UPC is pleased to submit the following price proposal to the Commission for consideration.

We estimate that the total cost of labor for the completion of the RSC Docket would not exceed \$47,000 *for the (4) month* Periods of Representation. Our rates per hour vary per consultant from \$150.00/hour to \$400.00/hour.

Our labor estimate is based upon the costs necessary to provide the Commission with the services of a dedicated team of finance professionals for the twelve (12) month maximum Period of Representation.

We estimate that the total expenses necessary for the completion of the project would not exceed \$2,500. These costs reflect our estimate of the travel and lodging costs of experts over a twelve (12) month maximum Period of Representation. Expenses would also be separately disclosed with proof of such expenses attached. UPC would request reimbursement for only those travel-related expenses that are actually incurred. Expenses, where applicable, would be billed in accordance with state authorized rates as specified in the Travel Policies and Procedures Memorandum issued by the State of Louisiana, Division of Administration in effect at the time such expenses are incurred ("Allowable Expenses"). Any expenses that exceed the Allowable Expenses would be borne by UPC, unless otherwise specified by the Commission. Further, billed expenses would not exceed twenty percent (20%) of the total amount of billed fees at any given time.

We estimate that the total cost of labor and expenses for the completion of this Docket would not exceed \$49,500 (\$47,000 of Labor + \$2,500 of Expenses).

UPC understands that Staff would be able to request, and UPC would be required to provide, billing information at any time upon Staff's Request. Staff, working with UPC, would review all of the bills submitted for payment, including but not limited to conformity with the planning sessions and any amendments. UPC further understands that the Commission shall have the discretion to impose additional safeguards upon the potential bidders at the time such bids are put up for vote, and that it shall have the right to either accept such additional safeguards, or reject them and withdraw their bid. Further, at any time after UPC is selected and hired, the Staff and UPC may agree to further safeguards.

If selected, UPC bills would be rendered in strict accordance with the Commission's guidelines. UPC would only charge for actual hours of work performed and costs incurred. All UPC bills would be rendered monthly, and specifically identify and describe all work performed, the person performing such work, the time and charge for such work, and show the total amount billed to date and the authorized original estimate, in accordance with the Commission's August 4, 2004 General Order.





E. CONFLICTS OF INTEREST

Below are outlined disclosures. We do not believe that they pose actual conflicts of interest, but for the purpose of full disclosure, hereby disclose.

1. SSC presently serves the State of Louisiana, Office of the Governor, Division of Administration through acting as a financial advisor, on an “on call” basis.

Neither The Sisung Group nor UPC has knowledge of any other conflicts of interest that would impair our ability to serve the Commission in the RSC Docket.



F. OTHER CURRENT REPRESENTATIONS

Below are outlined a list of other matters in which we currently represent the Commission:

1. UPC also presently serves the Commission through assisting Commission Staff in participating in and monitoring the Midcontinent Independent System Operator, Inc., Organization of MISO States, and Entergy Regional State Committee related matters.
2. UPC presently represents the Commission in *DOCKET NO. U-32707- Entergy Gulf States, LLC, In re: Application for Authority to Change Rates, Approval of Formula Rate Plan and for Related Relief* and *DOCKET NO. U-32708- Entergy Louisiana, LLC, In re: Application for Authority to Change Rates, Approval of Formula Rate Plan and for Related Relief* compliance dockets.
3. UPC also presently serves as an independent technical consultant in the compliance of *Docket U-33244 Entergy Louisiana, LLC (“ELL”) and Entergy Gulf States Louisiana, LLC (“EGSL”)* [(hereinafter conjunctively referred to as “the Companies”)], *ex parte, In re: Submission of Business Combination Study regarding potential business combination.*
4. UPC also presently serves as an independent outside consultant to the Commission in the compliance of *Docket No. U-33434, Joint Application of Cleco Power, LLC and Cleco Partners, L.P. for: (I) Authorization for the Change of Ownership and Control of Cleco Power, LLC and (II) Expedited Treatment.*
5. UPC also presently serves the Commission as an independent outside consultant the compliance of on *Docket No. U-33510 Entergy Gulf States Louisiana, LLC’s Upcoming Filing Regarding Acquisition of two power blocks located at Union Power Station.*
6. UPC also presently serves the Commission as an outside contract regulatory consultant on *Docket No. R-32975-LPSC, ex parte, In re: Examination of long-term natural gas hedging proposals.*
7. UPC also presently serves the Commission on *LPSC, ex parte, In re: Investigation of the retail load assignment between Entergy Gulf States Louisiana, LLC, Vinton Public Power Authority and San Rayburn Municipal Power Agency and certain interstate contract electric power supply agreement* by assisting Commission Staff in the further investigation of the issues contemplated in the above captioned investigation, as well as a determination as to whether the current (or prior) arrangement(s) have an impact on Louisiana-jurisdictional ratepayers.



8. UPC also presently serves the LPSC on Commission on *Docket No. U-34424 Entergy Gulf States Utilities, L.L.C. ex parte. In re: 2016 Rate Stabilization Plan Filing*, by assisting Staff in reviewing EGSL's Gas Rate Stabilization Plan Filing.
9. UPC also presently serves the LPSC on Commission on *Docket No. U-34434, Atmos Energy Corporation, ex parte. In re: 2016 Rate Stabilization Clause filing for Louisiana Gas Rate Division*, by assisting Staff in reviewing Atmos's Gas Rate Stabilization Clause Filing for the Trans Louisiana Rate Division.
10. UPC also presently serves the LPSC on Commission on *Docket No. U-34343, Atmos Energy Corporation, ex parte. In re: 2016 Rate Stabilization Clause filing for Trans Louisiana Gas Rate Division*, by assisting Staff in reviewing Atmos's Gas Rate Stabilization Clause Filing for the Trans Louisiana Rate Division.
11. UPC also presently serves the Commission on *Docket No. U-33950 In Re: Entergy Louisiana, LLC Compliance Submission Regarding Deactivation of Little Gypsy 1, Ninemile 3, and Willow Glen 2 AND 4, as required by Order No. U-33510*.
12. UPC also presently serves the Commission on *Docket No. U-33782 Entergy Louisiana, LLC and Entergy Gulf States, LLC, Ex Parte Test Year 2014 Formula Rate Plan Filing*.
13. UPC also presently serves the Commission on *Docket No. Docket 34289 Cleco Power LLC, ex parte. In re: 2016 Formula Rate Plan Monitoring Report*.
14. UPC also presently serves the Commission on *Docket No. X- 34345 Audit of Greater Ouachita Water Co. meter-reading, billing, collection and customer-service practices*.
15. UPC also presently serves the Commission on *Docket No. X-34109 Fuel Adjustment Clause Audit of Entergy Louisiana, LLC's charges flowed through its Fuel Adjustment Charge, from the period beginning January 1, 2014 through December 31, 2015 (2014-2015)*.
16. UPC also presently serves the Commission through assisting Commission Staff in *Docket No. U-34354 Application of Southwestern Electric Power Company for Approval of Long-Term Natural Gas Procurement Proposal*.
17. UPC also presently serves the Commission through assisting Commission Staff in *Docket No. X-34341 Entergy Louisiana, LLC Report and Request for Initial Findings Regarding Long-Term Natural Gas Hedging*.



18. UPC also presently serves the Commission through assisting Commission Staff in *Docket No. U-34324 Review of Atmos Energy's Purchased Gas Adjustment*.
19. UPC also presently serves the Commission through assisting Commission Staff in *Docket No. R-34029 In re: Investigation of tax structure issues for all LPSC-jurisdictional utilities*.
20. UPC also presently serves the Commission through assisting Commission Staff in *Docket No. R-34026 In re: Investigation of double leveraging issues for all LPSC-jurisdictional utilities*.
21. UPC also presently serves the Commission through assisting Commission Staff in *Docket No. S-33825 In re: Directive to Establish a Service Quality Program ("SQP") for Cleco Power, LLC*.
22. UPC also presently serves the Commission through assisting Commission Staff in *Docket No. S-34082 Request to Close LMPS Rate Schedule to New Business*.
23. UPC also presently serves the Commission through assisting Commission Staff in *Docket No. S-34425 South Louisiana Electric Cooperative Association vs Allen's T.V. Cable Service, Inc. In re: Petition to Establish Pole Attachment Rental Rates in Accordance with Dispute Resolution Rules*.
24. UPC also presently serves the Commission through assisting Commission Staff in *Docket No. S-34426 In Re: Status of Electric Rates in Louisiana: Where Are We and Where Are We Going?*
25. UPC also presently serves the Commission through assisting Commission Staff in *Docket No. R-34407 LPSC, ex parte, In Re: Rulemaking docket to consider whether or not the Commission should exercise its authority over future utility generation deactivation and retirement decisions and the rules and procedures that could apply to any such exercise of authority*.
26. UPC also presently serves the Commission through assisting Commission Staff in *Docket No. I-34693 Cleco Power, LLC, ex parte. In re: Request to Initiate 2017 Integrated Resource Planning ("IRP") Process Pursuant to General Order No. R-30021 (Corrected) dated April 20, 2012*.
27. UPC also presently serves the Commission through assisting Commission Staff in *Docket No. I-34694 Entergy Louisiana, LLC, ex parte. In re: Request to Initiate 2017 Integrated Resource Planning ("IRP") Process Pursuant to General Order No. R-30021 (Corrected) dated April 20, 2012*.





G. CONCLUSION

UPC appreciates this opportunity to respond to the Commission's RFP. We are excited about the opportunity to serve the Commission as an Outside Consultant in this Docket. The UPC consultants who would serve the Commission and Commission Staff have a vast amount of experience with rule-making dockets.

Our professionals UPC's local presence offers the LPSC the benefits of geographic proximity and a wealth of institutional knowledge. Indeed, our robust understanding of Louisiana's laws, regulations, procedures, organization, political environment and financial position would allow us to be immediately effective in responding to the needs of the Commission and Staff in this Docket by virtue of not having to overcome the learning curve that an out-of-state firm might encounter. We are fully prepared to assist Commission Staff counsel and outside counsel in formulating issues list(s), drafting requests for comments and reviewing responses to requests for comments filed by intervening and interested parties, participating in any technical conferences scheduled, drafting and reviewing rules, reports and recommendations, and participating in open sessions wherein the matter is discussed.

Our professionals have a distinctive style that encourages personal service, excellence and integrity. We believe that the combination of our professionals' experience, our local Louisiana presence, our national expertise, and our proven track record of nearly thirty years of exceptional quality and integrity in our dealings offers the Commission an excellent choice for an outside independent technical consultant to assist it in this Docket.





Response to Louisiana Public Service Commission Request for Proposals for an Outside Consultant

Appendix A: Resumes of Sisung Professionals Assigned to RFP 18-07





LAWRENCE J. SISUNG, JR.

Mr. Sisung earned a Bachelor's degree in Business Education and Accounting and a Master's degree in Administration, Supervision, and Statistics from Northwestern State University.

Mr. Sisung is a fully licensed General Securities Representative (Series 7), General Securities Principal (Series 24), Municipal Securities Principal (Series 53), Direct Participation Program Principal, Financial and Operations Principal (Series 27), Government Securities Principal and a Registered Investment Adviser Representative (Series 65), Investment Banking Representative (Series 79). Mr. Sisung is also a licensed real estate agent and broker with the state of Louisiana. He also holds a license as an insurance agent with the state of Louisiana.

Prior to forming The Sisung Group, Mr. Sisung worked in the public sector for over 20 years, eight of which he served as the Superintendent and Chief Executive Officer of the 35th largest school district in the United States. In this position he oversaw over 7,000 employees, 75,000 students and managed multi-million dollar operating and capital budgets. Mr. Sisung was able to take an early retirement from public service and use his experiences to form The Sisung Group. He has now amassed over four decades of finance, management and development experience as an executive, issuer, project manager, financial adviser, investment consultant, underwriter, asset manager, venture capitalist, real estate developer and general business consultant.

As President of Sisung Securities Corporation, Mr. Sisung has served on underwriting and financial advisory teams that have secured billions in funds. As a managing member of Sisung Investment Management Services, LLC, he has led the acquisition of hundreds of millions of dollars in assets for management and is responsible for the development of the firm's Tactical Asset Allocation investment approach. As a managing member of Sisung Capital, LLC, Mr. Sisung has created a company dedicated to providing alternative financing to small businesses so as to have a positive impact on their economic futures as well as those of the communities in which they reside. As a managing member of United Professionals Company, LLC, Mr. Sisung has created a firm that provides comprehensive assistance and solutions in the areas of business development and real estate projects.

Mr. Sisung is a member of numerous professional and business organizations and has served on FINRA's Business District Conduct Committee and as an arbitrator for FINRA.

Mr. Sisung will assist the LPSC in this Docket by lending his advising and consulting expertise on an as needed basis.





ROBERT LANE SISUNG

Mr. Sisung earned a Bachelor of Science degree in Accounting from Louisiana State University. After graduation, he sat for and passed the Certified Public Accountant exam and subsequently attended Loyola University Law School where he earned a Juris Doctorate. After law school, Mr. Sisung earned an LL.M. in Tax Law from the University of Florida. Mr. Sisung is a fully licensed General Securities Representative, a licensed insurance agent in the state of Louisiana, and a member of the Louisiana State Bar.

Mr. Sisung has over two decades of experience in financial, real estate and investment transactions. His most recent projects include successful development and implementation of the Healthcare Finance Consulting and Financial Litigation Services business lines for the Sisung Group. The Sisung Group’s work in these endeavors has produced hundreds of millions of dollars for the firms’ clients and the state.

The Financial Litigation Services business line has primarily supported governmental entities in cases before tribunals. Mr. Sisung manages an array of financial experts in coordinating a cohesive approach to providing expert witness services for complicated financial and regulatory matters. Mr. Sisung himself also provides expert testimony and assist in the creation of settlements and orders of judgment. His personal involvement in the representation of the LPSC in both the proposed ITC Merger transaction, LPSC Docket No. U-32538, and the recent Entergy rate cases and related compliance filings, LPSC Docket Nos. U-32707 and U-32708, proved critical to the effective safeguarding of LPSC-jurisdictional rate payers.

Mr. Sisung’s previous endeavors include the successful creation, development and implementation of the corporate finance and mergers and acquisitions operations of Sisung Securities Corporation; the creation and operations of Sisung Capital that include raising and investing millions of dollars in venture capital funds in Louisiana; the creation and operation of the Sisung Film Finance Division; and the growth and management of over \$1 billion dollars in assets under management in Sisung Investment Management Services. Mr. Sisung also manages and directs all Sisung Group real estate, project management, and business development projects ranging from large-scale multi-use developments to multi-facility capital infrastructure projects. Mr. Sisung sits on the investment committee for Sisung Investment Management Services, LLC and also serves as in-house counsel for the five companies that comprise the Sisung Group.

Mr. Sisung will serve as the primary point of contact for the LPSC, and he will be responsible for coordinating and managing all of our firm’s resources for the LPSC.





LAWRENCE J. SISUNG, III

Mr. Sisung holds a Master of Business Administration degree with a concentration in economics from Southeastern Louisiana University.

Mr. Sisung is a fully licensed General Securities Representative (Series 7), General Securities Principal (Series 24), Municipal Securities Principal (Series 53), Financial and Operations Principal (Series 27) and Government Securities Principal, Investment Banking Representative (Series 79). Mr. Sisung is also a licensed real estate agent in the state of Louisiana

Mr. Sisung has spent over twenty years representing governmental agencies, political subdivisions, corporations and not-for-profit organizations at the local, state and national levels in the capacity of investment banker, financial advisor, policy advisor and securities structuring agent on billions of dollars of capital financings. He has extensive experience in financial analysis, creative transaction structuring and bringing transactions to market and is currently serving as a financial advisor to the state of Louisiana Division of Administration for state debt. Working in the capacity of investment banker, financial advisor or structuring agent, Mr. Sisung has participated in hundreds of municipal and private tax-exempt and taxable transactions that have brought billions of dollars of debt funding to public agencies. Within the state of Louisiana, he has represented almost every type of qualified issuing authority and has created new financing structures that have changed how certain state agencies raise capital.

In March, 2011, Mr. Sisung provided testimony in his capacity as financial adviser on behalf of the Commission in Docket No. U-30912 (Phase III) that resulted in securitization of over \$200 million in Little Gypsy cancellation costs of an interest rate of about 2%. Since the early 1990's Mr. Sisung has provided written affidavits and testified extensively before the Louisiana State Bond Commission, the State's commission from which state, local and conduit issuers must obtain constitutionally mandated regulatory approval to issue debt. He has also testified before legislative oversight committees in matters dealing public benefit and security transactions.

Mr. Sisung will assist the LPSC in this Docket by lending his advising and consulting expertise on an as needed basis.





JOHN MAYEAUX

Mr. Mayeaux holds a Master of Business Administration degree with a concentration in finance in addition to a Bachelor of Science degree in computer science, both from Tulane University. He is a FINRA licensed General Securities Representative (Series 7) and a Municipal Securities Representative (Series 52), Investment Banking Representative (Series 79). Prior to joining Sisung Securities, Mr. Mayeaux worked in investment banking and commercial banking roles for several regional banks.

Mr. Mayeaux currently serves as Senior Vice President for The Sisung Group. His primary duties are with the Capital Markets Group at Sisung Securities. He is responsible for originating and structuring taxable and tax-exempt bond investment banking transactions; for structuring and pricing of interest rate derivatives; and for developing and structuring new and unique financing structures for the firm. He is exceptionally skilled in quantitative financial analysis and complex financial structuring.

Mr. Mayeaux has served as investment banker or financial advisor to Louisiana governmental agencies, 501(c)(3) non-profit organizations, and for-profit businesses since 1996. He is particularly focused on providing financing for capital projects for elementary, secondary and higher education clients. He has served as financial advisor for state debt to the State of Louisiana Division of Administration. He has also served as special consultant and provided testimony to the Louisiana Public Service Commission.

Mr. Mayeaux has created and implemented ground-breaking pooled financing programs for his clients. He has also completed numerous financings for state-sponsored special purpose economic development projects and for Company seeking taxable and tax-exempt industrial revenue bond financing. He is among the leading investment professionals in Louisiana for innovative lease/appropriation secured nonprofit financings.

Additionally, Mr. Mayeaux is a member of the Sisung Investment Management Services investment committee on which he specializes in municipal securities and advises on portfolio strategy. He also serves on the board of directors of Sisung Capital.

Mr. Mayeaux will assist the LPSC in this Docket by lending his advising and consulting expertise on an as needed basis.





PAUL THOMAS CHASTANT, III

Mr. Chastant holds a Bachelor of Science in Accounting and a Minor in History from Louisiana State University. He also holds a Juris Doctor from Tulane University Law School. Mr. Chastant is a member of the Louisiana State Bar, a Certified Public Accountant licensed to practice in Louisiana, and a Certified Mergers and Acquisitions Advisor.

Mr. Chastant has accounting and financial advisory consulting experience in areas that include external audit, acquisition due diligence, revenue and expenditure growth projection, litigation support, and business valuation, gained while working as a Financial Consulting and External Audit Staff Accountant with Postlethwaite & Netterville, one of the largest accounting firms in the state of Louisiana. In this position, he assisted publicly traded companies' internal audit departments in assessing European foreign corporate subsidiaries' compliance with Sarbanes-Oxley Act reporting requirements. His financial consulting experience ranges from constructing detailed financial accounting and reporting policies and procedures manuals for clients to consulting on issues of cross-border financial consolidations, US GAAP financial reporting, and SEC reporting and compliance for US-based multi-national, public companies.

While at Tulane, Mr. Chastant's study of the law was concentrated on issues surrounding transaction law, including corporate law, mergers and acquisitions, practical aspects of hostile acquisitions, and corporate taxation. Prior to graduating Tulane, he completed an externship with The Louisiana Supreme Court Clerk of Court, where he was tasked with assisting in an ongoing revision to the Louisiana Supreme Court's Practice and Procedure Rules and assigned a project to begin drafting e-filing rules for the Louisiana Supreme Court.

Today, Mr. Chastant uses his accounting background and his legal expertise in his role as Vice President of Project Development for the Sisung Group. While at Sisung, Mr. Chastant has assisted in the successful development of the Financial Litigation Services Division and has used his qualifications and experience to help create a streamlined approach for financial experts to be able to support attorneys in the provision of litigation support for complex financial transactions. Mr. Chastant has assisted in every aspect from discovery to testimony to drafting of settlement agreements and orders for judgment.

Mr. Chastant will serve the LPSC as the secondary point of contact for the LPSC in his Docket. He and Mr. Sisung will be responsible for coordinating and managing all of our firm's resources for LPSC.





COURTNEY P. GUPTON

Ms. Gupton holds a Master of Business Administration degree with a concentration in finance from the University of New Orleans and a Bachelor of Science degree from Southeastern Louisiana University.

Ms. Gupton is licensed as a FINRA General Securities Representative (Series 7) and currently serves as Assistant Vice President for Capital Markets at The Sisung Group. She is responsible for the firm's variable rate bond remarketing, brokerage of bond reinvestment products, day-to-day bond investor operations, and ongoing compliance monitoring for the firm and its clients.

Ms. Gupton is also responsible for developing various analytical and comprehensive reports for universities, school boards, governmental and healthcare clients. She is particularly experienced with the financing and administration of bond funds for various colleges, universities and public school systems.

Ms. Gupton will assist the LPSC in this Docket by lending her advising and consulting expertise on an as needed basis.





JAKE CHAPMAN

Jake Chapman joined The Sisung Group in December of 2012 and currently serves as the Accounting & Operations Intern, assisting in the daily accounting and administrative functions of The Sisung Group's New Orleans office.

Prior to joining The Sisung Group, Mr. Chapman worked for over five years with Winn-Dixie, the ninth largest traditional supermarket chain in North America, in various store-level customer service management positions before becoming a district operations trainer, providing store support to newly hired Winn-Dixie associates in both the New Orleans and Baton Rouge areas.

Mr. Chapman is currently pursuing his bachelors of accountancy from the Joseph A. Butt, S.J., College of Business Administration at Loyola University New Orleans, where he has been on the Dean's List every semester. Mr. Chapman also currently serves as the Vice President of Finance for Loyola's chapter of Alpha Kappa Psi, the world's oldest, largest, and most prestigious professional business fraternity.

Mr. Chapman will assist the LPSC in this Docket by providing administrative functions for other members of the Sisung team on an as needed basis.





J. THOMAS MCGUCKIN, PH.D.

Dr. McGuckin holds a Bachelor of Arts in Economics from Pomona College, a Masters of Economics from Colorado State University, and a Ph.D. in Economics from the University of Wisconsin. Dr. McGuckin is a member of both the American Economics Association and the National Association of Regulatory Utility Commissioners.

Dr. McGuckin is retired from New Mexico State University where he was a Professor of Economics for thirty-three years. He has also been an associate for the Center for Public Utilities at New Mexico State University, whose program offerings are officially sectioned by the National Association of Regulatory Utility Commissioners.

Dr. McGuckin is an economics expert in the fields of utilities in electricity, water, wastewater, natural gas and solid waste. In this role, he has provided detailed technical and policy analyses and recommendations to numerous state and federal regulatory bodies. He has focused his consulting efforts on performing cost of service analysis, rate analysis and rate design, regulatory policy development, utility governance, and planning and cost allocation processes for investor-owned electric utility companies. His experience has allowed him to build an in-depth knowledgebase on a wide array of topics including: the appropriate accounting standards and practices for electric utilities, resource planning and resource adequacy, cost recovery mechanisms for utilities, regional transmission planning organizations and ancillary services markets, day ahead energy and operating reserves markets and real time energy and operating reserves markets, transmission hedges, annual revenue rights and financial transmission rights, fuel cost recovery, qualified facilities, avoided cost, certification of transmission, certification of generation or power supply purchases, competitive procurement of supply side resources, market forecasting and futures, and federal regulations impacting or potentially impacting utilities' operations and/or policy.

Dr. McGuckin now pulls from his background and experience in serving the Sisung Group as its chief expert regulatory consultant.

Dr. McGuckin will serve the LPSC in this Docket by providing economics-related consulting services on an as needed basis.





ROYAL ALEXANDER

Mr. Alexander holds a Bachelor of Arts from Louisiana State University. He also holds a Juris Doctor from Oklahoma City University School of Law. Mr. Alexander is a member of the Louisiana State Bar.

After law school, Mr. Alexander clerked for U.S. District Judge Rebecca F. Doherty in Lafayette, Louisiana and also interned for U.S. District Judge Richard Haik during the voluminous and complex Combustion environmental tort litigation involving CERTCLA/Superfund claims. Mr. Alexander is a frequent lecturer on state and federal constitutional issues, including implication eminent domain, the Takings Clause and

Commerce Clause/interstate commerce.

Mr. Alexander has worked in Washington D.C. for three different Members of Congress and also worked for the Federal Motor Carrier Safety Administration. During his tenure in Washington, he worked with the Department of Energy and FERC on several energy related issues. In Addition his work on DOE issues included numerous energy research project e.g. nuclear energy and security, energy tax incentives, and hydropower and geothermal energy. He currently has his private law practice in Shreveport, Louisiana.

The Louisiana Public Service Commission recently engaged Mr. Alexander to investigate Isaac recovery response for Entergy, Cleco, Swepco, Demco and Slemco.

Mr. Alexander will serve the LPSC in this Docket by providing legal-related consulting services to the Commission on an as needed basis.





ROBERT VOSBERG

Mr. Vosberg holds a Bachelor of Science in Engineering from University of Wisconsin, Platteville.

Mr. Vosberg has nearly forty years practicing in the regulated utility space, including over ten years at Alliant Energy as an Energy Delivery Project Manager. He also has experience with the Wisconsin Electrical Cooperative Association, where he was responsible for direct interaction with State and Federal Regulatory bodies including providing testimony.

In this role, Mr. Vosberg also served on committees that provided recommendations to such regulatory bodies for proposed agency rules and regulations. In his role with Scenic Rivers Energy Cooperative, Mr. Vosberg served as the Director of Engineering, where he was responsible for system planning, system protection, equipment procurement, rate schedules, and standards.

Mr. Vosberg has litigation experience related to economic development efforts and other special projects. He has served on local, State and National committees providing technical guidance to various regulatory agencies, regional bodies, economic groups and other associations. Mr. Vosberg has also performed rate equalization studies and other special projects.

Today, Mr. Vosberg provides technical support to various clients relating to new transmission and generation facilities. He has direct responsibility for interactions with multiple Transmission Providers (PJM, MISO including MISO South, ERCOT, SPP, WECC, etc.) for Interconnection and Transmission Service requirements. Mr. Vosberg has extensive knowledge of SERC member utilities including Southern Company, Progress Energy, TVA, Florida Utilities, Electric Municipals and Electric Cooperatives. He provides guidance to clients regarding Transmission Market requirements, including current and future pricing structures, FERC regulatory requirements and State regulatory requirements.

Mr. Vosberg will serve the Maine OPA in this Rulemaking by providing pole attachment expertise and economic-related consulting services on an as needed basis.

